



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
SPERANZA
IN FUTURO



Università
degli Studi
di Palermo

HEAL ITALIA

EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANIA)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di
ricerca, imprese e finanziamento progetti di ricerca di base
finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative
Therapies, Advanced Lab-
research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

SUBJECT: Affidamento di servizio scientifico – topo di laboratorio e relativi servizi, attraverso il Portale Appalti UniPd, previa acquisizione di uno o più preventivi ai sensi dell'art 50, comma 1, lett. b) del D. Lgs. 36/2023, nell'ambito del finanziamento PNRR-Missione 4: Istruzione e ricerca; Research Service Framework Agreement and Individual Project Description 2025-0027

CUP: C95F22000150007 - B73C22001250006

PROCEDURA N. PG016245

DECLARATION IN LIEU OF AFFIDAVIT FOR THE PURPOSE OF DETERMINING BENEFICIAL OWNERSHIP

pursuant to Article 47 of Presidential Decree No. 445 of 28 December 2000

in compliance with the provisions of Legislative Decree No. 231 of 21 November 2007, as amended and updated by Legislative Decree No. 90/2017 and the subsequent implementing provisions issued by the Bank of Italy on 23 December 2009 (Anti-Money Laundering Legislation)

I, the undersigned Dr. Jürgen Bauer

born in (.....) on

Tax Code

resident in (.....) Post Code

Street

domicile (if different from residence)

valid identity documents:

☐ ID Card

☐ Driving Licence

☐ Passport

☐ Other (please specify)

Having number

issued on by

with expiration



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
SPINTELLI



Università
degli Studi
di Palermo

HEAL ITALIA

EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANY)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di
ricerca, imprese e finanziamento progetti di ricerca di base
finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative
Therapies, Advanced Lab-
research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

as

☐ Owner of the sole proprietorship

☒ Legal Representative of the company

☐ Other (please specify)

Of the enterprise/company (Company name) ... EMBL Enterprise Management Technology Transfer GmbH

Registered office: Boxbergring 107.....

Post Code 69126..... Municipality ...Heidelberg, Germany Province

Tax Code/VAT No. 32492/41840; VAT No.: 336336

classification of economic activities prepared by EU (NACE code and description):

.....

in accordance with Articles 46 and 47 of Presidential Decree No. 445 of 28 December 2000, being aware that if the contents of this declaration are found to be not true, I will forfeit the right, if any, to the enjoyment of the related benefits, without prejudice to the criminal sanctions established by Article 76 of Presidential Decree No. 445/2000 for false attestations and untrue statements,

HEREBY DECLARE

in relation to the procedure in question to be financed under the NRRP, **having read the instructions pertaining to the definition of “beneficial owner” and the related identification methods set forth at the end of this declaration template:**

Option 1)

☐ that I am the sole beneficial owner of the above enterprise;

Option 2)

☐ that I am a beneficial owner of the enterprise together with:

(repeat the information below for each individual identified as a beneficial owner)

Last name First name

born in (.....) on



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
INNOVATION FOR ALL



Università
degli Studi
di Palermo



EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANY)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di
ricerca, imprese e finanziamento progetti di ricerca di base
finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative
Therapies, Advanced Lab-
research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

Tax Code

resident in (.....) Post Code

Street

Domicile (if different from residence)

valid **identity documents**:

☐ ID Card

☐ Driving Licence

☐ Passport

☐ Other (please specify)

Having number

issued on by

with expiration

Option 3)

☒ that I am not the beneficial owner

The beneficial owner/s of the enterprise is/are indicated below:

(repeat the information below for each individual identified as a beneficial owner)

Last name ... EMBL (European Molecular Biology Laboratory) First name

.....

born in (.....) on

Tax Code

resident in ...Heidelberg, Germany..... (.....) Post Code ...69117.....

Street Meyerhofstrasse 1 Domicile (if different from residence)

.....

valid **identity documents**:

☐ ID Card

☐ Driving Licence

☐ Passport



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
SPINTECHNOLAB



Università
degli Studi
di Palermo

HEAL ITALIA

EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANIA)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di
ricerca, imprese e finanziamento progetti di ricerca di base
finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative
Therapies, Advanced Lab-
research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

☐ Other (please specify)

Having number

issued on by

with expiration

Option 4)

(NOTE: this choice is reserved only for cases in which there is no control or significant shareholding in the enterprise)

☐ that there is no beneficial owner of the enterprise because (specify the reason: listed company/publicly held company/etc)

.....
.....

and consequently the beneficial owners are identified as the **natural persons holding powers of administration or management of the enterprise** listed below:

(repeat the information below for each natural person identified as a beneficial owner, including the declaring party where they are identifiable as a beneficial owner due to the absence of control or significant shareholding)

Last name First name

born in (.....) on

Tax Code

resident in (.....) Post Code

Street

Domicile (if different from residence)

.....

valid **identity documents**:

☐ ID Card

☐ Driving Licence

☐ Passport

☐ Other (please specify)

Having number



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
SPERANZA
INNOVAZIONE



Università
degli Studi
di Palermo

HEAL ITALIA

EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANY)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di
ricerca, imprese e finanziamento progetti di ricerca di base
finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative
Therapies, Advanced Lab-
research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

issued on by

with expiration

I, the undersigned, declare that the data subjects have read the privacy notice issued by the Data Controller in accordance with Articles 13 and 14 of Regulation (EU) 2016/679 - GDPR - published in the section dedicated to the facilitation measure on the Ministry's official website and that they have been informed that the personal data provided are mandatory under the provisions in force in order to carry out the procedure initiated herein and that for this purpose they will also be processed with IT tools. Failure to provide personal data will mean that the procedure cannot be conducted. Pursuant to Article 15 et seq. of the GDPR, all data subjects may make requests to exercise their rights in the manner set forth in the above-mentioned privacy notice

With reference to all the persons indicated the above, I attach hereto:

- **copies of the identity documents of the legal representative and beneficial owners**, the identification details of whom have been provided in this declaration;
- **copies of documents (health card, electronic identity card) proving the issuance of the tax code to the legal representative and beneficial owners.**

Place and date Heidelberg, 28.07.2025

The Legal Representative / Beneficial Owner

Michael Milne, EMBL Chief Operating Officer



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
SPINTECHNOLAB



Università
degli Studi
di Palermo

HEAL ITALIA

EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANY)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di
ricerca, imprese e finanziamento progetti di ricerca di base
finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative
Therapies, Advanced Lab-
research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

Please note: This form, properly completed, must be converted to PDF so that it can be signed digitally. Alternatively, the form can be signed with a handwritten signature and scanned as a PDF.

INSTRUCTIONS FOR IDENTIFYING THE BENEFICIAL OWNER

1. REFERENCE LEGISLATION

According to the Anti-Money Laundering Legislation (Legislative Decree No. 231 of 21 November 2007), the **beneficial owner is the natural person on whose behalf a transaction or activity is carried out.**

In the case of a **legal entity**, it is that **natural person - or persons - who, by owning said entity, is/are the beneficiary of it.**

All legal entities must therefore have a beneficial owner, except for sole proprietorships and freelancers, where the beneficial owner is the same as the natural person.

In light of the provisions of Decree No. 55 of the Minister for the Economy and Finance of 11 March 2022, "*Regulations on the communication, access and consultation of data and information relating to the beneficial ownership of enterprises with legal personality, private legal persons, trusts producing legal effects relevant for tax purposes and legal institutions similar to trusts*" and the "*Guidelines for the performance of control and reporting activities of NRRP interventions under the responsibility of Central Administrations and Implementing Entities*" issued by the Ministry of Economy and Finance - Central Service for the NRRP in Circular No. 30 of 11 August 2022, entities participating in NRRP notices and calls are also required to provide the data necessary for the identification of the beneficial owner.

The Financial Intelligence Unit Notice of 11 April 2022 also reiterates the importance of ascertaining the "beneficial owner" under Article 22 of Regulation No. 241/2021. Specifically, "*For the purposes of ascertaining beneficial ownership, similar to what is provided for recipients of customer due diligence obligations, it is appropriate for public administrations to take into account the notion and guidance contained in Legislative Decree No. 231/2007, use data from public or private databases, where accessible, and keep evidence of the criteria followed in identifying beneficial ownership*".

More specifically, according to Article 1 of the aforementioned Decree No. 44/2022 of the Minister for the Economy and Finance, to identify the beneficial owner, in case of:

EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANIA)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di ricerca, imprese e finanziamento progetti di ricerca di base finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative Therapies, Advanced Lab-research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

- **enterprises with legal personality**, reference shall be made to the natural person or persons to whom direct or indirect ownership is attributable pursuant to Article 20(2), (3) and (5) of the Anti-Money Laundering Decree;
- **private legal persons**, reference is made to the entities identified in Article 20(4) of the Anti-Money Laundering Decree;
- **trusts and related legal institutions**, reference is made to those identified in Article 22(5), first sentence, of the Anti-Money Laundering Decree;

The identifying data of the individuals to whom beneficial ownership is referred are:

- **first and last name**;
- **place and date of birth**;
- **registered residence**;
- **domicile**, (if different from residence);
- **tax code**.

2. CRITERIA FOR IDENTIFYING THE BENEFICIAL OWNER

The “Guidelines for the performance of control and reporting activities of NRRP interventions under the responsibility of Central Administrations and Implementing Entities” issued by the Ministry of the Economy and Finance - Central Service for the NRRP in Circular No. 30 of 11 August 2022, in referring to Legislative Decree No. 231/2007, as amended by Legislative Decree No. 125 of 2019, recalls the application of **3 alternative criteria for identifying the beneficial owner**:

1. **ownership structure criterion**: based on this criterion, a beneficial owner(s) is identified when one or more persons hold a stake in the company's capital of more than 25%. If this percentage of ownership is controlled by another non-physical legal entity, it is necessary to go up the ownership chain until the beneficial owner is found;
2. **control criterion**: based on this criterion, a check is made as to who is the person, or group of persons, who through the possession of a majority of votes or contractual ties, exerts the most influence among the shareholders. This criterion is crucial in case the beneficial owner cannot be traced through the analysis of ownership structure (see point 1);
3. **residual criterion**: this criterion states that if the beneficial owners have not been identified by the previous two criteria, the beneficial owner should be identified as the person who exercises powers of administration or management of the company.

The same Ministry of the Economy and Finance Circular 30/2022 specifies that all offerors/contractors with the Public Administration are required to report beneficial ownership data.

In the event that subcontracting is used (if provided for in the Notice/Tender and the Contract Agreement), the disclosure of the data on the beneficial owner must be made not only by the successful bidder, but also by the third party (subcontractor) to whom the contractor entrusts in whole or in part, the performance of the work contracted to it.



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
SPERANZA
IN FUTURO



Università
degli Studi
di Palermo

HEAL ITALIA

EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANIA)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di
ricerca, imprese e finanziamento progetti di ricerca di base
finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative
Therapies, Advanced Lab-
research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

In the case of a Temporary Grouping of Companies (RTI), the beneficial owner data must be provided by all the economic operators who are part of the Grouping.

3. LEGISLATIVE APPENDIX

Legislative Decree No. 231 of 21 November 2007

Implementation of Directive 2005/60/EC on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing and Directive 2006/70/EC implementing measures thereof.

Article 1 Definitions

...omitted...

2. In this decree, the following definitions apply:

...omitted...

pp) **beneficial owner**: the natural person or persons, other than the customer, in whose interest or on whose behalf the continuing relationship is ultimately established, the professional service is rendered or the transaction is ultimately performed.

Article 20 Criteria for determining beneficial ownership of customers who are not natural persons

1. The beneficial owner of customers who are not natural persons is the natural person or persons who ultimately has/have direct or indirect ownership or control of the entity.

2. In case the customer is a corporation:

a) direct ownership is indicated by ownership of more than 25% of the customer's capital held by a natural person;

b) direct ownership is indicated by ownership of more than 25% of the customer's capital held through subsidiaries, trust companies or intermediaries.

3. In cases where examination of the ownership structure does not make it possible to unambiguously identify the natural person(s) to whom direct or indirect ownership of the entity is attributable, the beneficial owner shall be the natural person(s) to whom control of the entity is ultimately attributable:

(a) control of the majority of votes exercisable at the ordinary shareholders' meeting;

(b) control of sufficient votes to exercise a dominant influence in the ordinary shareholders' meeting;

(c) the existence of special contractual constraints that allow dominant influence to be exercised.

4. In the event that the customer is a private legal person, as referred to in *Presidential Decree No. 361 of 10 February 2000*, the following are cumulatively identified as beneficial owners:

a) the founders, if alive;

b) the beneficiaries, where identified or easily identifiable;

c) the holders of powers of legal representation, management and administration.



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
SPINTELLI



Università
degli Studi
di Palermo

HEAL ITALIA

EMBLEM Technology Transfer GmbH
BOXBERGRING 107
69126 HEIDELBERG (GERMANY)
VAT NUMBER 201764688

PNRR – Missione 4: Istruzione e ricerca
Componente 2: Dalla ricerca all'impresa
Investimento 1.3 Partenariati allargati estesi a università, centri di
ricerca, imprese e finanziamento progetti di ricerca di base
finanziato dall'Unione Europea – NextGenerationEU
Progetto: HEAL ITALIA – Health Extended Alliance for Innovative
Therapies, Advanced Lab-
research, and Integrated Approaches of Precision Medicine”
CUP: B73C22001250006
COD. UGOV: DUPO_PNRR_BANDI.ENTRATA24_01

5. Where application of the criteria in the preceding paragraphs does not make it possible to identify unequivocally one or more beneficial owners, the beneficial owner shall be the natural person(s) holding, in accordance with their respective organisational or statutory structures, powers of legal representation, administration or management of the company or of the customer that is not a natural person.

6. The obliged parties shall keep a record of the checks carried out for the purpose of identifying the beneficial owner as well as, with specific reference to the beneficial owner identified pursuant to paragraph 5, of the reasons why the beneficial owner could not be identified pursuant to paragraphs 1, 2, 3 and 4 of this Article.

Article 22 Obligations of the Customer

...omitted...

5. The trustees of express trusts, regulated by Law No. 364 of 16 October 1989, as well as persons exercising equivalent rights, powers and faculties in similar legal arrangements, provided that they are established or resident in the territory of the Italian Republic, shall obtain and hold adequate, accurate and current information on the beneficial ownership of the trust or similar legal arrangement. Beneficial ownership is understood to mean the identity of the settlor(s), the trustee(s) the guardian(s) or other person(s) on behalf of the trustee, if any, the beneficiary(ies) or class of beneficiaries and other natural persons exercising control over the trust or related legal arrangement, and any other natural person ultimately exercising control over the property placed in the trust or related legal arrangement through direct or indirect ownership or any other means. Trustees of express trusts and persons exercising equivalent rights, powers and faculties in similar legal arrangements shall retain such information for a period of not less than five years after the termination of their status as trustees and shall make it readily accessible to the authorities referred to in Article 21(2)(a) and (b). The same trustees who, in this capacity, establish an ongoing or professional relationship or perform an occasional service shall declare their status to the obliged parties.